



RECEIVED

SEP 30 2004

Technology Center 2100

2132  
30  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 23, 2004.

Carol Burchfield  
Carol Burchfield

Applicant : Craig L. Ogg, et al.  
Application No. : 10/083,236  
Filed : February 26, 2002  
Title : SECURED CENTRALIZED PUBLIC KEY INFRASTRUCTURE  
  
Grp./Div. : 2132  
Examiner : Not yet assigned  
  
Docket No. : 47187/SAH/S850

INFORMATION DISCLOSURE STATEMENT  
FOR A CONTINUING NON-CPA APPLICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
September 23, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, enclosed is FORM PTO/SB/08A/B.

The references listed thereon are not enclosed because they were of record in the parent application, Application No. 09/690,066 filed October 16, 2000.

**Application No. 10/083,236**

It is respectfully requested that these references be considered in the examination of this application, and identified in the list of references cited on the patent issuing on this application.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Art Hasan  
Reg. No. 41,057  
626/795-9900

SAH/clb  
Enclosure(s)  
TMW PASS5679.1-\* 09/23/04 12:30 PM

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

SEP 28 2004

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	47187/SAH/S850
Application Number	10/083,236
Filing Date	February 26, 2002
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	Not assigned yet
Examiner Name	Not assigned yet

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	CITE NO. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,447,890	05-08-1984	Duwel et al.
		4,725,718	02-16-1988	Sansone et al.
		4,743,747	05-10-1988	Fougere et al.
		4,757,537	07-12-1988	Edelmann et al.
		4,775,246	10-04-1988	Edelmann et al.
		4,802,218	01-31-1989	Wright et al.
		4,812,994	03-14-1989	Taylor et al.
		4,853,865	08-01-1989	Sansone et al.
		4,935,961	06-19-1990	Gargiulo et al.
		4,949,381	08-14-1990	Pastor
		4,980,542	12-25-1990	Jackson et al.
		5,058,008	10-15-1991	Schumacher
		5,075,865	12-24-1991	Kawamura et al.
		5,142,577	08-25-1992	Pastor
		5,181,245	01-19-1993	Jones
		5,319,562	06-07-1994	Whitehouse
		5,325,519	06-28-1994	Long et al.
		5,341,505	08-23-1994	Whitehouse
		5,377,268	12-27-1994	Hunter
		5,448,641	09-05-1995	Pintsov et al.
		5,471,925	12-05-1995	Heinrich et al.
		5,561,795	10-01-1996	Sarkar
		5,570,465	10-29-1996	Tsakanikas
		5,598,477	01-28-1997	Berson

Technology Center 2100  
RECEIVED  
SEP 30 2004

EXAMINER SIGNATURE	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

O I P E  
SEP 2 8 2004  
SC10

Attorney Docket Number	47187/SAH/S850
Application Number	10/083,236
Filing Date	February 26, 2002
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	Not assigned yet
Examiner Name	Not assigned yet

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	CITE NO. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,600,562	02-04-1997	Guenther
		5,655,023	08-05-1997	Cordery et al.
		5,659,616	08-19-1997	Sudia
		5,666,421	09-09-1997	Pastor et al.
		5,668,897	09-16-1997	Stolfo
		5,684,951	11-04-1997	Goldman et al.
		5,729,734	03-17-1998	Parker et al.
		5,742,683	04-21-1998	Lee et al.
		5,768,132	06-16-1998	Cordery et al.
		5,781,438	07-14-1998	Lee et al.
		5,781,634	07-14-1998	Cordery et al.
		5,793,867	08-11-1998	Cordery et al.
		5,801,944	09-01-1998	Kara
		5,812,990	09-22-1998	Ryan, Jr. et al.
		5,812,991	09-22-1998	Kara
		5,867,578	02-02-1999	Brickell et al.
		5,918,234	06-29-1999	Shah, et al.
		5,930,796	07-27-1999	Pierce et al.
		5,940,383	08-17-1999	Willkie
		5,953,427	09-14-1999	Cordery et al.
		5,956,404	09-21-1999	Schneier et al.
		5,978,484	11-02-1999	Apperson et al.
		5,983,227	11-09-1999	Nazem et al.
		5,987,441	11-16-1999	Lee et al.

Technology Center 2100  
RECEIVED  
SEP 3 0 2004

EXAMINER SIGNATURE	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

SEP 28 2004

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	47187/SAH/S850
Application Number	10/083,236
Filing Date	February 26, 2002
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	Not assigned yet
Examiner Name	Not assigned yet

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	CITE NO. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,988,897	11-23-1999	Pierce et al.
		6,010,156	01-04-2000	Block
		6,026,385	02-15-2000	Harvey et al.
		6,058,384	05-02-2000	Pierce et al.
		6,061,671	05-09-2000	Baker et al.
		6,064,993	05-16-2000	Ryan, Jr.
		6,070,150	05-30-2000	Remington et al.
		6,105,063	08-15-2000	Hayes, Jr.
		6,134,582	10-17-2000	Kennedy
		6,161,139	12-12-2000	Win et al.
		6,164,528	12-26-2000	Hills et al.
		6,223,166 B1	04-24-2001	Kay
		6,233,568 B1	05-15-2001	Kara
		6,249,777 B1	06-19-2001	Kara et al.
		6,275,824 B1	08-14-2001	O'Flaherty et al.
		6,286,098 B1	09-04-2001	Wenig et al.
		6,324,523 B1	11-27-2001	Killeen, Jr. et al.
		6,367,013 B1	04-02-2002	Bisbee et al.
		6,385,654 B1	05-07-2002	Tanaka
		6,385,731 B2	05-07-2002	Ananda
		6,408,286 B1	06-18-2002	Heiden
		6,427,021 B1	07-30-2002	Fischer et al.
		6,466,921 B1	10-15-2002	Cordery et al.
		6,636,983 B1	10-21-2003	Levi

EXAMINER SIGNATURE		DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

SEP 28 2004

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)



Attorney Docket Number	47187/SAH/S850
Application Number	10/083,236
Filing Date	February 26, 2002
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	Not assigned yet
Examiner Name	Not assigned yet

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		US 2001/0037320 A1	11-01-2001	Allport et al. <b>RECEIVED</b>
		US 2001/0055388 A1	12-27-2001	Kaliski, Jr.
		US 2002/0046193 A1	04-18-2002	Bator et al. <b>SEP 30 2004</b>
		US 2002/0095383 A1	07-18-2002	Mengin et al. <b>Technology Center 2100</b>
		US 2003/0130954 A1	07-10-2003	Carr et al.

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> ( <input checked="" type="checkbox"/> )
		EP 0 360 225 A2	03-28-1990	Pastor	
		EP 0 576 113 A2	12-29-1993	Heinrich, et al.	
		EP 0 604 146 A3	06-29-1994	Herbert	
		EP 0 604 146 B1	11-26-1997	Herbert	
		EP 0 604 148 A3	06-29-1994	Herbert	
		EP 0 647 925 A2	04-12-1995	Pintsov, et al.	
		EP 0 854 448 A2	07-22-1998	Bresnan, et al.	
		EP 0 892 367 A2	01-20-1999	Bass, et al.	
		EP 0 927 958 A2	07-07-1999	Pierce et al.	
		EP 0 927 963 A2	07-07-1999	Ryan Jr.	
		WO 94/27258 A1	11-24-1994	Rhoades et al.	
		WO 98/13790 A1	04-02-1998	Brookner, et al.	
		WO 99/18514 A1	04-15-1999	Costigan, et al.	
		WO 00/19382 A1	04-06-2000	Ananda	
		WO 00/70503 A1	11-23-2000	Brookner	

EXAMINER SIGNATURE		DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

SEP 28 2004

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	47187/SAH/S850
Application Number	10/083,236
Filing Date	February 26, 2002
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	Not assigned yet
Examiner Name	Not assigned yet

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	CITE NO. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> ( <input checked="" type="checkbox"/> )
		WO 01/50227 A2	07-12-2001	Mattern, et al.	

OTHER DOCUMENTS

EXAMINER INITIALS	CITE NO. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

TMW PAS585660.1-\*09/23/04 11:14 AM

RECEIVED  
SEP 30 2004  
Technology Center 2100

EXAMINER SIGNATURE	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.